

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: William H. Thompson, III and Stiles Thomas

Serial No.: 10/840,013

Filed: May 6, 2004

Conf. No.: 9636

For: FEATHER ASSEMBLY FOR PREVENTING BIRDS FROM FLYING INTO WINDOWS  
AND ASSOCIATED METHOD OF USE

Examiner: Unknown

Group No.: Unknown

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## AMENDMENT TRANSMITTAL

- Transmitted herewith is an amendment for this application.
- ☒ Small Entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.  
  
☐ Enclosed is a verified statement to establish small entity status.  
  
☐ Other Than a Small Entity.
- The fee has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)	SMALL ENTITY		LARGE ENTITY	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Addit. Fee	Rate	Addit. Fee
TOTAL	*19	MINUS	**20	0	x 9	\$	x18	\$
INDEP.	*6	MINUS	***6	0	x43	\$	x86	\$
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM					+145	\$	+290	\$
					TOTAL FEE	\$0	TOTAL FEE	\$

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.  
 \*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, write "20" in this space.  
 \*\*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 3, write "3" in this space.  
 The "Highest No. Previously Paid for" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

☒ No additional fee for claims is required.

☐ Total fee for claims required \$\_\_\_\_\_.

4. Attached is a check in the sum of \$\_\_\_\_\_.

\_\_\_\_\_ Please charge my Deposit Account No. 23-3000 in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is attached.

5. The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply.

Complete (a) or (b) as applicable.

(a) \_\_\_\_\_ Applicant petitions for an extension of time under 37 CFR 1.136 for the total number of months checked below:

<u>Extension (months)</u>	<u>Fee for other than small entity</u>	<u>Fee for small entity</u>
_____ one month	\$ 110.00	\$ 55.00
_____ two months	\$ 420.00	\$ 210.00
_____ three months	\$ 950.00	\$ 475.00
_____ four months	\$1,480.00	\$ 740.00
_____ five months	\$2,010.00	\$1,005.00

Fee: \$\_\_\_\_\_

\_\_\_\_\_ Please charge my Deposit Account No. 23-3000 in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is attached.

If an additional extension of time is required, please consider this a petition therefor.

(Check and complete the next item, if applicable)

\_\_\_\_\_ An extension for \_\_\_\_\_ months has already been secured. The fee paid therefor of \_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

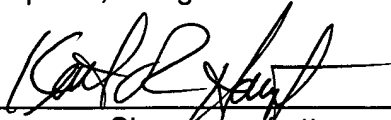
Extension fee due with this request \$\_\_\_\_\_.

OR (b)

X Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

X If any additional fee for claims or extension is required, charge Acct. No. 23-3000.

2700 Carew Tower  
Cincinnati, Ohio 45202  
(513) 241-2324

  
\_\_\_\_\_  
Signature of attorney

\_\_\_\_\_  
Keith R. Haupt  
Type name of Attorney

Reg. No. 37,638

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop (Fee) Amendment Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

July 16, 2004

  
\_\_\_\_\_  
Signature of Attorney, Reg. No. 37,638

7/16/04  
\_\_\_\_\_  
Date



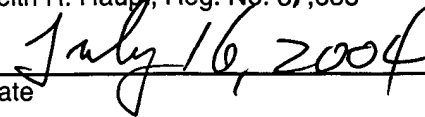
**PATENT**

**CERTIFICATE OF MAILING**

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Keith R. Haupt, Reg. No. 37,638

Date



Serial No.: 10/840,013  
Filed: May 6, 2004  
Art Unit: Unknown  
Examiner: Unknown  
Applicant: William H. Thompson, III and Stiles Thomas  
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Cincinnati, Ohio 45202

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**PRELIMINARY AMENDMENT**

Sir:

Please enter this Preliminary Amendment prior to examination of this case.

**Amendments to the Specification** begin on page 2 of this paper.